

UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

**MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450**

Docket No.: 1222.008USU



Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Olaseni Duro-Emanuel; Diane Rinnovatore; Kent Peer-Nous;
Michelle Suzanne Wernli; Konstantin Braun**

For: **A TERM NOTE PAIRED WITH A MONEY MARKET NOTE**

Enclosed are:

XXX Specification (17 pps.) consisting of: Description (11 pps); Claims (5 pps);
Abstract (1 pp);

XXX 2 sheets of drawings;

XXX Declaration and Power of Attorney;

XXX An assignment of the invention to: **LEHMAN BROTHERS HOLDINGS
INC.** including \$40.00 recordation fee and Assignment Recordation
Form Cover Sheet;

 Information Disclosure Statement (with copies of patent);

 Form - PTO-1449;

 The undersigned attorney has verified that the applicant is entitled to a
Small Entity Status; and

 Priority of U.S. Provisional Patent Application Serial No. _____, filed
on _____ is claimed under 35 U.S.C. §119(e).

 Priority of U.S. Patent Application Serial No. _____, filed on _____
_____ is claimed under 35 U.S.C. §120.

 Priority of application Serial No. _____ filed on _____, in
_____ is claimed under 35 U.S.C. §119;

 A Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$750.00
Total Claims	34 - 20 =	14	x \$18.00	\$252.00
Independent Claims	7 - 3 =	4	x \$84.00	\$336.00
Multiple Dependent Claim Fee			x \$280.00 = \$0.00	
TOTAL FILING FEE			\$1,338.00	

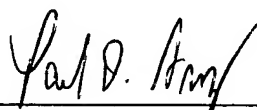
1/2 FILING FEE FOR SMALL ENTITY	\$N/A
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XXX A check in the amount of \$ 1,378.00 (\$1,338 – filing fee, \$40 – Assignment recordal fee) is enclosed.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Paul D. Greeley, Esq.**
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August 21, 2003
Date of Signature

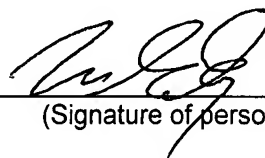


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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV330350462US**, service under 37 CFR §1.10 and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 21, 2003.

Tara L. Suhay
(Typed name of person mailing paper)



(Signature of person mailing paper)